



Status Report on Implementation of Office of City Auditor Recommendations as of December 2013

July 9, 2014

**Presentation to the Seattle City Council's
Finance and Culture Committee**

- **Since 2010 we have published annual recommendation follow-up reports***
- **The latest report covers 350 recommendations from 38 audit reports published from 2007-2013**

*** These reports can be seen at www.seattle.gov/audit**

Why we track our audit recommendations

- **City Council has high interest in how quickly departments are implementing recommendations**
- **Scorecard to City Council each year**
- **One measure of our effectiveness**

Categories of Implementation Status

1. Implemented

- Recommendation implemented or
- Being implemented with no barrier to implementation

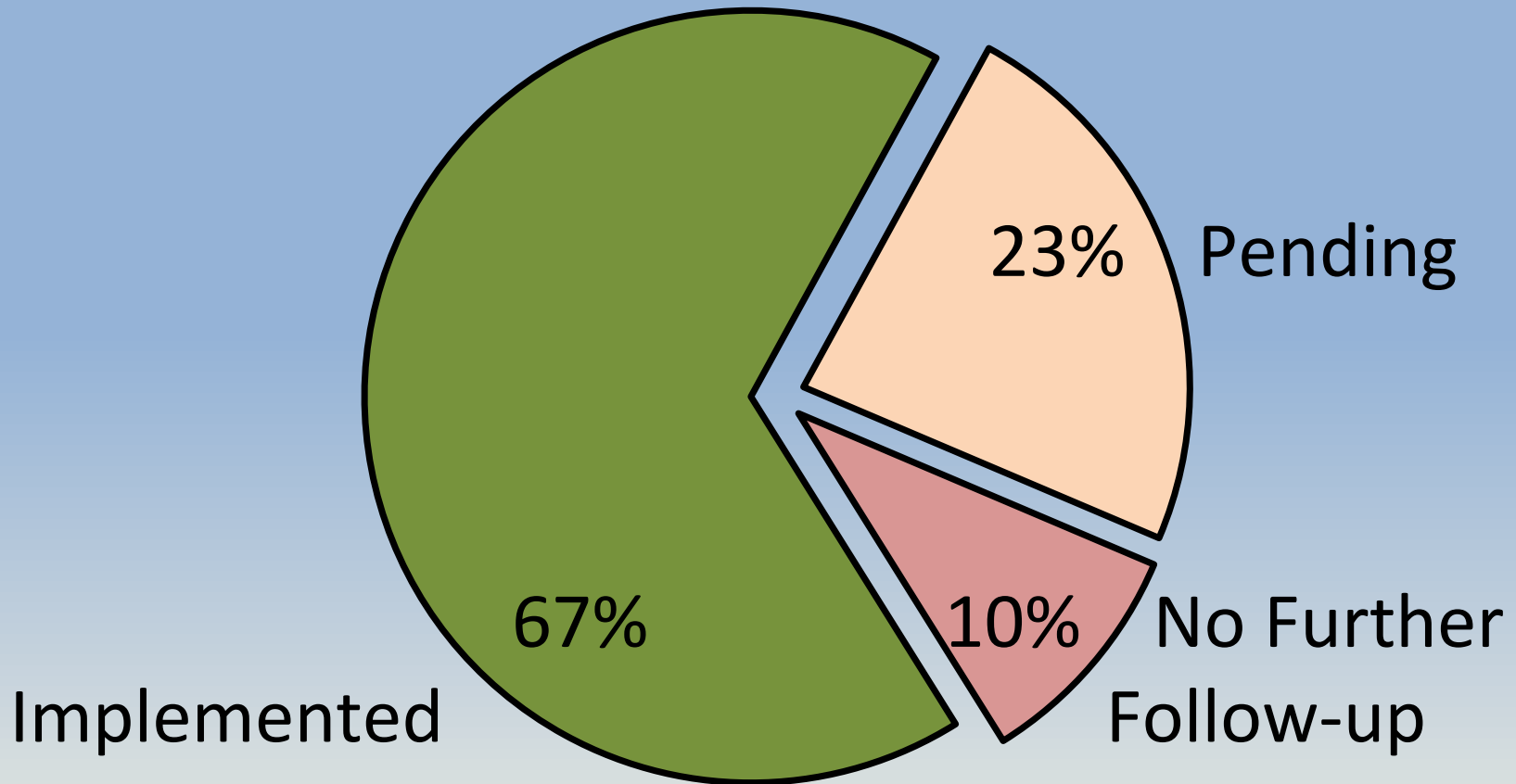
2. Pending

- The recommendation's implementation is in process or is not complete and additional monitoring is warranted

3. No further follow-up planned

- Recommendation no longer relevant
- Implementation not feasible
- Department does not agree with recommendation
- Recommendation was considered by City Council but not adopted

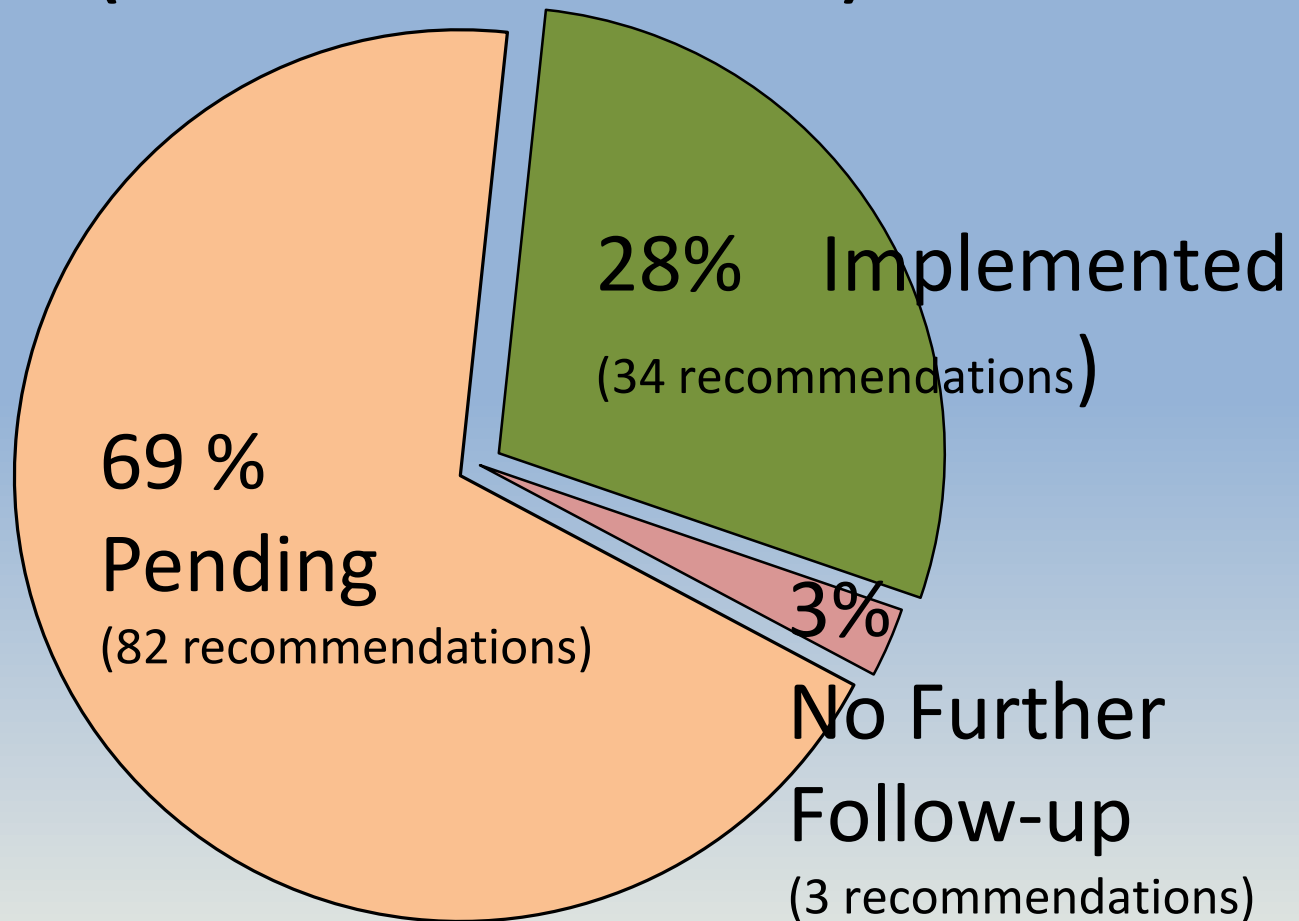
**2007-2013 Recommendations
(350 cumulative)
Status Summary as of December 31, 2013**



Latest Report Describes Status of 119 Open Recommendations

- 80 recommendations reported as “pending” from previous reports
- 39 new recommendations from our 2013 audit reports
- See the 2013 report for details on the 231 recommendations not included in this report

Status Summary of Recommendation from Latest Report (119 recommendations)



Our Process

- **New recommendations are added to tracking database as reports are published**
- **In the 4th quarter of each year the Auditor-in-Charge seeks testimonial/documentary evidence on implementation status**
- **Final report**

Examples from our latest report

Implemented

- **#306 and 307 Multifamily Tax Exemption (MFTE) Program Audit**
- **#294, 295, 296 MFTE Audit**

Pending

- **#194 Parking Efficiencies Audit**

No Further Follow-up Planned

- **#223 Graffiti Audit**